

Berkovich Spaces I

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Let X be an algebraic variety over k , an algebraically closed, complete, non-archimedean field with non-trivial absolute value. We can try to assign $X(k)$ the weakest topology such that for every Zariski open $U \subset X$ and every regular function $f \in \mathcal{O}_X(U)$, the function $U(k) \rightarrow \mathbb{R} : P \mapsto |f(P)|$ is continuous.

Tate: use a Grothendieck topology. But this implies the existence of non-zero abelian sheaves with all stalks zero: we need more points.

Berkovich: points with values in fields with rank 1 valuations. Spaces become Hausdorff and locally compact.

Huber considered arbitrary valuations, giving adic spaces. These are not Hausdorff in general.

All rings are commutative with identity.

A *seminorm* on a ring \mathcal{A} is a function $|\cdot| : \mathcal{A} \rightarrow \mathbb{R}_{\geq 0}$ such that $|0| = 0, |1| = 1,$

$|f + g| \leq |f| + |g|$ and $|fg| \leq |f| \cdot |g|$ for all $f, g \in \mathcal{A}$.

If $|fg| = |f| \cdot |g|$, we say that $|\cdot|$ is multiplicative.

Each seminorm $|\cdot|$ determines a topology, which is Hausdorff if and only if $|\cdot|$ is a norm, i.e.

$|f| = 0 \Rightarrow f = 0$. One can construct a completion of \mathcal{A} with respect to any seminorm.

We say that two seminorms $|\cdot|$ and $|\cdot|'$ are *equivalent* if there exist $C, C' > 0$ such that

$C|f| \leq |f|' \leq C'|f|$ for all $f \in \mathcal{A}$. Equivalent seminorms define the same topology. If \mathfrak{a} is an

ideal of \mathcal{A} we can define the *residue seminorm* on \mathcal{A}/\mathfrak{a} by $|f| = \inf\{|g| \mid g \text{ projects to } f\}$.

The residue seminorm is a norm if and only if \mathfrak{a} is closed in \mathcal{A} .

Lemma 1.

If \mathcal{A} is a normed ring and $f \in \mathcal{A}$ is invertible, then for all $m, n \geq 0$ we have $\|f^n\|^{-m} \leq \|f^{-m}\|^n$.

Lemma 2.

If $\sum_i a_i < \infty$ and the a_i are all positive real numbers, then $\sum_i a_i^n < \infty$ for all $n \geq 1$.

Let $\phi : \mathcal{A} \rightarrow \mathcal{B}$ be a homomorphism of seminormed rings. We say that ϕ is *bounded* if there is a constant $C > 0$ such that $|\phi(f)| \leq C|f|$ for all $f \in \mathcal{A}$.

A *Banach ring* is a normed ring that is complete with respect to its norm.

Examples. (i) The *trivial norm* $\|\cdot\|_0$ with $\|f\|_0 = 1$ for all $f \neq 0$ makes any ring into a Banach ring.

(ii) If \mathcal{A} is Banach and \mathfrak{a} is a closed ideal, then \mathcal{A}/\mathfrak{a} is complete with respect to the residue norm. The set of invertible element in \mathcal{A} is open and an element $x \in \mathcal{A}$ is not invertible if and only if it lies in some maximal ideal of \mathcal{A} . It follows that every maximal ideal is closed.

(iii) \mathbb{Z} is a Banach ring with respect to the usual absolute value $\|\cdot\|_\infty$.

(iv) For a Banach ring \mathcal{A} and a positive number r , let $\mathcal{A}\langle\langle r^{-1}T \rangle\rangle$ denote the set of power series $f = \sum_{i=0}^{\infty} a_i T^i$ such that $\sum_{i=0}^{\infty} \|a_i\| r^i < \infty$. Then $\mathcal{A}\langle\langle r^{-1}T \rangle\rangle$ is Banach with respect to the norm $\|f\| = \sum_{i=0}^{\infty} \|a_i\| r^i$. Note that an element $1 - aT$, with $a \in \mathcal{A}$, is invertible in $\mathcal{A}\langle\langle r^{-1}T \rangle\rangle$ if and only if $\sum_{i=0}^{\infty} \|a^i\| r^i < \infty$.

Let \mathcal{A} be a Banach ring. The *spectrum* $\mathcal{M}(\mathcal{A})$ is the set of all bounded multiplicative seminorms on \mathcal{A} equipped with the weakest topology such that the evaluation functions $\|\cdot\| \mapsto \|f\|$, for $f \in \mathcal{A}$, are continuous. Our first goal is the following theorem.

Theorem.

The spectrum $\mathcal{M}(\mathcal{A})$ is a nonempty compact Hausdorff space.

Let $\phi : \mathcal{A} \rightarrow \mathcal{B}$ be a bounded homomorphism of Banach rings. It induces a continuous map $\phi^* : \mathcal{M}(\mathcal{B}) \rightarrow \mathcal{M}(\mathcal{A})$. Assume that the set of elements of the form $\phi(f)/\phi(g)$ for $f, g \in \mathcal{A}$ and $\phi(g)$ invertible in \mathcal{B} is dense in \mathcal{B} . Then by continuity, every seminorm of \mathcal{B} is determined by its values on this set, i.e. ϕ^* is injective.

We are ready to prove that $\mathcal{M}(\mathcal{A})$ is nonempty.

By the previous observation it is enough to show that $\mathcal{M}(\mathcal{A}/\mathfrak{m})$ is nonempty, where \mathfrak{m} is a maximal ideal. So assume that \mathcal{A} is a field. Let S be the set of nonzero bounded seminorms on \mathcal{A} . S is nonempty because it contains the norm of \mathcal{A} . We put a partial order on S : $|\cdot| \leq |\cdot|'$ if $|f| \leq |f|'$ for all $f \in \mathcal{A}$. Let $|\cdot|$ be a minimal element in S . We can replace \mathcal{A} by its completion with respect to $|\cdot|$ and thus assume that $|\cdot|$ is the norm of \mathcal{A} . Now we need to show that $|\cdot|$ is multiplicative.

First we prove that $|f^n| = |f|^n$ for all $f \in \mathcal{A}$. If not, there exists an f such that $|f^n| < |f|^n$ for some n . We claim that $f - T$ is not invertible in the Banach ring $\mathcal{A}\langle\langle r^{-1}T \rangle\rangle$ where $r = |f^n|^{1/n}$. The inverse of $f - T$ in $\mathcal{A}[[T]]$ is $f^{-1}(1 - f^{-1}T)^{-1}$. Therefore it is enough to show that $\sum_{i=0}^{\infty} |f^{-i}| r^i$ does not converge. Applying Lemma 1, we have

$$\infty = \sum_{i=0}^{\infty} 1 = \sum_{i=0}^{\infty} r^{-in} r^{in} = \sum_{i=0}^{\infty} |f^n|^{-i} r^{in} \leq \sum_{i=0}^{\infty} |f^{-i}|^n r^{in}.$$

By Lemma 2, this implies that $f - T$ is not invertible in $\mathcal{A}\langle\langle r^{-1}T \rangle\rangle$.

Consider the homomorphism $\phi : \mathcal{A} \rightarrow \mathcal{A} \langle\langle r^{-1}T \rangle\rangle / (f - T)$. Since \mathcal{A} is a field, this is injective, and $\|\phi(f)\| = \|T\| = r = |f^n|^{1/n} < |f|$. Pulling back the residue norm on $\mathcal{A} \langle\langle r^{-1}T \rangle\rangle / (f - T)$ to \mathcal{A} we get a seminorm $|\cdot|$ satisfying $|f|' < |f|$. This is impossible as $|\cdot|$ is a minimal seminorm.

Similarly we can prove that for nonzero $f \in \mathcal{A}$ we have $|f^{-1}| = |f|^{-1}$. Together with submultiplicativity this gives

$$|fg|^{-1} = |f^{-1}g^{-1}| \leq |f^{-1}| |g^{-1}| = |f|^{-1} |g|^{-1} \leq |fg|^{-1}.$$

Thus $|\cdot|$ is multiplicative and $\mathcal{M}(\mathcal{A})$ is nonempty.

Let x' and x'' be distinct points of $\mathcal{M}(\mathcal{A})$. Without loss of generality, there exists $f \in \mathcal{A}$ with $|f|_{x'} < |f|_{x''}$. Pick a real number r with $|f|_{x'} < r < |f|_{x''}$. Then $U' = \{x \in \mathcal{M}(\mathcal{A}) \mid |f|_x < r\}$ and $U'' = \{x \in \mathcal{M}(\mathcal{A}) \mid |f|_x > r\}$ are disjoint neighbourhoods of x' and x'' respectively. Hence $\mathcal{M}(\mathcal{A})$ is Hausdorff.

Let $| \cdot |_x$ be an element of $\mathcal{M}(\mathcal{A})$. The kernel \mathfrak{p}_x is a closed prime ideal of \mathcal{A} . The value $|f|$ depends only on the residue class of f in $\mathcal{A}/\mathfrak{p}_x$. The resulting valuation on $\mathcal{A}/\mathfrak{p}_x$ extends to a valuation on its fraction field $K(x)$. The completion of $K(x)$ with respect to $| \cdot |_x$ is a complete valued field denoted by $\mathcal{H}(x)$. The image of $f \in \mathcal{A}$ in $\mathcal{H}(x)$ is denoted by $f(x)$. The homomorphism

$$\mathcal{A} \longrightarrow \prod_{x \in \mathcal{M}(\mathcal{A})} \mathcal{H}(x)$$

which sends f to $\hat{f} = (f(x))_{x \in \mathcal{M}(\mathcal{A})}$ is called the *Gel'fand transform*.

Set $\mathcal{B} = \prod_{x \in \mathcal{M}(\mathcal{A})} \mathcal{H}(x)$. The induced map $\mathcal{M}(\mathcal{B}) \rightarrow \mathcal{M}(\mathcal{A})$ is surjective. Compactness of $\mathcal{M}(\mathcal{A})$ follows from the following result.

Theorem.

Let $\{K_i\}_{i \in I}$ be a family of valuation fields. Then the spectrum $\mathcal{M}(\mathcal{B})$ of $\mathcal{B} = \prod_{i \in I} K_i$ is homeomorphic to the Stone-Čech compactification of the discrete set I .

This is proved using filters.

There is a natural continuous map $\mathcal{M}(\mathcal{A}) \rightarrow \text{Spec}(\mathcal{A}) : x \mapsto \mathfrak{p}_x$, sending a seminorm to its kernel.

Let's look at $\mathcal{M}(\mathbb{Z})$ more closely. By Ostrowski's theorem, any multiplicative seminorm on \mathbb{Z} is one of the following:

(1) A seminorm $| \cdot |_{\infty, \varepsilon}$, where $\varepsilon \in (0, 1]$:

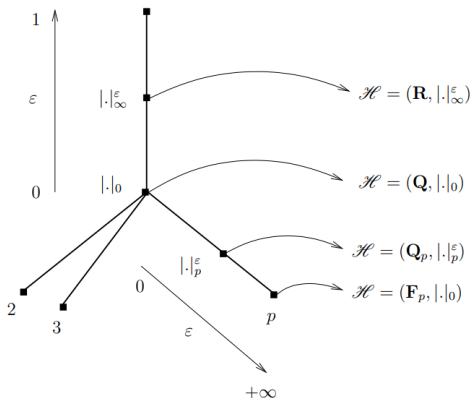
$$|n|_{\infty, \varepsilon} = |n|_{\infty}^{\varepsilon}$$

(2) The trivial seminorm $| \cdot |_0$

(3) A p -adic seminorm $| \cdot |_{p, \varepsilon}$, where p is a prime and $|n|_{p, \varepsilon} = \varepsilon^{-v_p(n)}$ for $\varepsilon \in (0, \infty)$

(4) A p -trivial seminorm $| \cdot |_{p, 0}$ where p is a prime and

$$|n|_{p, 0} = \begin{cases} 0, & \text{if } p \mid n. \\ 1, & \text{otherwise.} \end{cases}$$



The natural map $\mathcal{M}(\mathcal{A}) \rightarrow \text{Spec}(\mathbb{Z})$ sends the lower endpoints of the prime number intervals to their respective prime ideals and all other points to the generic point of $\text{Spec}(\mathbb{Z})$.

Fix $n \in \mathbb{Z}$. The preimage of $\{a < |n|_x < b\} \subset \mathbb{R}_{\geq 0}$ is open in $\mathcal{M}(\mathbb{Z})$. The open neighbourhoods of $| \cdot |_0$ in $\mathcal{M}(\mathbb{Z})$ are those subsets which contain all branches except finitely many, and contain a Euclidean neighbourhood of $| \cdot |_0$ in the remaining ones.

Let k be a complete, algebraically closed, non-archimedean field.

The Berkovich affine line $\mathbb{A}_k^{1,\text{an}}$ is the set of multiplicative seminorms on the polynomial ring $k[T]$ which restrict to the valuation on k . This set is given the weakest topology such that all real-valued functions of the form $|\cdot| \mapsto |f|$, for $f \in k[T]$, are continuous.

Let $k\langle r^{-1}T \rangle = \{f = \sum_{i=0}^{\infty} |a_i| r^i < \infty\}$ be the Tate algebra, which is a Banach ring with respect to the norm $\|f\| = \sup |a_i| r^i$. Set $X = \mathcal{M}(k\langle r^{-1}T \rangle)$. For $a \in k$ and $\rho \in \mathbb{R}$ with $|a| \leq r$ and $0 < \rho \leq r$, define $D(a, \rho) = \{x \in X \mid |x - a| \leq \rho\}$. We have $D(0, r) = X$. Furthermore $\mathbb{A}_k^{1,\text{an}} \cong \bigcup_{r>0} D(0, r)$, so $\mathbb{A}_k^{1,\text{an}}$ is locally compact.

Proof. We define continuous maps in each direction. The inclusion $k[T] \rightarrow k\langle r^{-1}T \rangle$ induce maps $\iota_r : D(0, r) \rightarrow \mathbb{A}_k^{1,\text{an}}$. These maps are compatible, so they induce

$$\iota : \varinjlim D(0, r) = \bigcup_{r>0} D(0, r) \rightarrow \mathbb{A}_k^{1,\text{an}}.$$

Suppose $x \in \mathbb{A}_k^{1,\text{an}}$, let $r = |T|_x$. Define $\psi(x) \in D(0, r)$ by

$$|f|_{\psi(x)} = \lim_{n \rightarrow \infty} \left| \sum_{i=0}^n a_i T^i \right|_x$$

for $f \in k\langle r^{-1}T \rangle$. The resulting map $\psi : \mathbb{A}_k^{1,\text{an}} \rightarrow \varinjlim D(0, r)$ is inverse to ι .

Our next goal is to characterise the points of $\mathbb{A}_k^{1,\text{an}}$.

Each element a of k gives rise to a point of $\mathbb{A}_k^{1,\text{an}}$ via the seminorm

$$| \cdot |_a : f \in k[T] \mapsto |f(a)| \in \mathbb{R}_{\geq 0}.$$

Conversely a can be recovered from $| \cdot |_a$ since $\ker(| \cdot |_a) = (T - a)$. This gives an injection $k \hookrightarrow \mathbb{A}_k^{1,\text{an}}$. We can identify a point a of k with a generalised disk $D(a, 0)$ of radius 0. We call these type 1 points.

Any closed disk $D(a, r)$ with $r > 0$ defines a multiplicative seminorm given by

$| \cdot |_D : k[T] \rightarrow \mathbb{R}_{\geq 0} : f \mapsto \sup_{x \in D} |f(x)|$. If r lies in the value group $|k^\times|$, this is a type 2 point. If $r \notin |k|$, it is a type 3 point.

In general, there is one more type of point: let $D_1 \supset D_2 \supset D_3 \supset \dots$ be a sequence of nested closed disks of k . If $\bigcup D_i = \emptyset$, then $\lim_{n \rightarrow \infty} | \cdot |_{D_n}$ defines a new point of $\mathbb{A}_k^{1,\text{an}}$.

Such points, called type 4 points, only occur when k is not spherically complete.

We say that k is spherically complete if every nested sequence of closed disk has nonempty intersection.

Theorem.

$\mathbb{C}_p = \widehat{\mathbb{Q}_p}$ is not spherically complete.

Proof. Let r_n be a sequence of real numbers converging to $r > 0$ from above. Set $D_0 = D(0, r_0)$. We can find disjoint disks D_1 and D'_1 both of radius r_1 contained in D_0 . Continuing in this fashion, we get $2^{\mathbb{N}_0}$ nested sequences of closed disks. Let \mathcal{D}_γ be one such sequence and set $D_\gamma = \bigcap_{D \in \mathcal{D}_\gamma} D$.

Assume D_γ is nonempty, let $x \in D_\gamma$. Since x lies in D for all $D \in \mathcal{D}_\gamma$, it is the centre of each disk. So D_γ contains $D(x, r)$. Now if $|x - y| = r' > r$, then there is some $D \in \mathcal{D}_\gamma$ with radius less than r' . So $y \notin D$ and thus $y \notin D_\gamma$. Thus D_γ is either empty or contains a disk of radius r . In particular, each D_γ is open.

But \mathbb{C}_p is separable ($\overline{\mathbb{Q}}$ is dense in it), so each nonempty D_γ must contain a point of $\overline{\mathbb{Q}}$. But the D_γ are disjoint, so all but countably many of them must be empty.

Remark. Every local field is spherically complete. Every valued field has a spherical completion.

Theorem.

Every point of $\mathbb{A}_k^{1,\text{an}}$ can be realised as $\lim_{n \rightarrow \infty} | \cdot |_{D_n}$ for some nested sequence $D_1 \supset D_2 \supset \dots$ of closed disks in k .

Proof. Seminorms are multiplicative and k is algebraically closed, so we only need to consider seminorms of linear polynomials in $k[T]$. Fix a point $x \in \mathbb{A}_k^{1,\text{an}}$. Consider the family \mathcal{F} of closed disks

$$\mathcal{F} = \{D(a, |T - a|_x) \mid a \in k\}.$$

Let $a, b \in k$. Without loss of generality, $|T - a|_x \geq |T - b|_x$. We have $|a - b| = |a - b|_x \leq \max\{|T - a|_x, |T - b|_x\}$ with equality if $|T - a|_x < |T - b|_x$. Thus $b \in D(a, |T - a|_x)$ and so $D(b, |T - b|_x) \subset D(a, |T - a|_x)$. We see that \mathcal{F} is totally ordered. Let $r = \inf_{a \in k} |T - a|_x$. Choose a sequence of points a_n in k such that r_n decreases to r , where $r_n = |T - a_n|_x$. Set $D_n = D(a_n, r_n)$. This is a nested sequence of closed disks. Now one can show that for all $a \in k$, $|T - a|_x = \lim_{n \rightarrow \infty} |T - a|_{D_n}$.

Summing up: let $| \cdot |_x \in \mathbb{A}_k^{1, \text{an}}$, let $D_n = D(a_n, r_n)$ be the corresponding nested sequence of closed disks, and let $r = \lim r_n$.

Type 1: $r = 0$ and $\bigcap D_n = a$, where completeness of k ensures that the limit is nonempty.

Type 2: $\bigcap D_n = D(a, r)$ with $r \in |k^\times|$

Type 3: $\bigcap D_n = D(a, r)$ with $r \notin |k|$.

Type 4: $\bigcap D_n = \emptyset$.

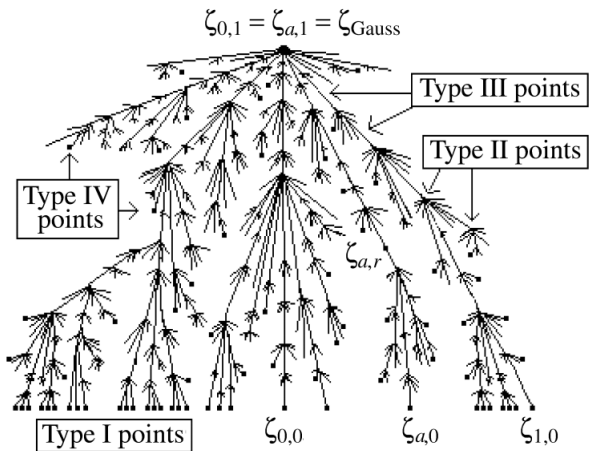


Figure: The Berkovich unit disk $D(0, 1)$.

$\mathbb{A}_k^{1,\text{an}}$ is uniquely path-connected.

Local description of the points of $\mathbb{A}_k^{1,\text{an}}$:

For $x \in \mathbb{A}_k^{1,\text{an}}$, define $T_x = \{\text{connected components of } \mathbb{A}_k^{1,\text{an}} - \{x\}\}$.

If x is Type 1, then $\#T_x = 1$.

If x is Type 2, then $\#T_x$ is infinite: there are infinitely many branches joining at x .

If x is Type 3, there is no branching: $\#T_x = 2$.

If x is Type 4, $\#T_x = 1$: x is a dead end.

Let $x \in \mathbb{A}_k^{1, \text{an}}$. Recall that $K(x)$ is defined as the fraction field of $k[T]/\ker(|\cdot|_x)$ and $\mathcal{H}(x)$ is the completion of $K(x)$ with respect to $|\cdot|_x$. We can describe the points of $\mathbb{A}_k^{1, \text{an}}$ in terms of the value group and the residue field $\widetilde{\mathcal{H}(x)}$ of $\mathcal{H}(x)$, where $\widetilde{\mathcal{H}(x)} = \mathcal{O}_x/\mathfrak{m}_x$ with $\mathcal{O}_x = \{f \in K(x) \mid |f|_x \leq 1\}$ and $\mathfrak{m}_x = \{f \in K(x) \mid |f|_x < 1\}$.

Type	Value group $ \mathcal{H}(x)^\times $	Residue field $\widetilde{\mathcal{H}(x)}$
1	$ k^\times $	\tilde{k}
2	$ k^\times $	$\tilde{k}(t)$
3	$\langle k^\times , r \rangle$	\tilde{k}
4	$ k^\times $	\tilde{k}

Here, $r \in \mathbb{R} \setminus |k^\times|$. Moreover, x is of type 1 if and only if $\mathcal{H}(x) \cong k$.

Proof. Type 1: x is given by $|f|_x = |f(a)|$. $\ker |\cdot|_x = (T - a)$, so $K(x) = \mathcal{H}(x) = k$.

Type 2: x corresponds to a disk $D(a, r)$ with $r \in |k^\times|$. No polynomial vanishes identically on $D(a, r)$, so $K(x) \cong k(T)$. For any $f \in k[T]$ there exists a $p \in D(a, r)$ such that $|f|_x = |f(p)|$ by the non-archimedean maximum principle. Therefore $|K(x)^\times| = |\mathcal{H}(x)^\times| = |k^\times|$. Take $c \in k^\times$ with $|c| = r$. Let t be the reduction of $(T - a)/c$ in the residue field $\widetilde{H(x)}$. One can show that t is transcendental over \widetilde{k} and $\widetilde{H(x)} = \widetilde{K(x)} = \widetilde{k}(t)$.

Type 3: Suppose x corresponds to $D(a, r)$ with $r \notin |k^\times|$. We have $|T - a|_x = r$, and so $|\mathcal{H}(x)^\times| = \langle |k^\times|, r \rangle$. To prove that the residue field is \widetilde{k} , write $f \in k(T)$ as a quotient of polynomials $f = g/h$,

$$g(T) = \sum b_i(T - a)^i, h(T) = \sum c_j(T - a)^j.$$

Notice that since $r \notin |k^\times|$, the strict ultrametric inequality applies to the terms of g and h : there are indices i_0 and j_0 such that $|g|_x = |b_{i_0}| r^{i_0}$, $|h|_x = |c_{j_0}| r^{j_0}$. If $|f|_x = 1$, we must have $i_0 = j_0$ and

$$f \equiv b_{i_0}/c_{i_0} \pmod{\mathfrak{m}_x}.$$

So every $f \in \mathcal{O}_x$ has constant reduction, i.e. $\widetilde{\mathcal{H}(x)} \cong \widetilde{k}$.

Type 4: Exercise.

Let K/k be a finite extension of non-archimedean valued fields. Let

$$e = [v(K^\times) : v(k^\times)]$$

be the ramification index and

$$f = [\tilde{K} : \tilde{k}]$$

the residue degree. Then

$$ef \leq [K : k]$$

with equality if k is discretely valued.

There exists an analogue for transcendental extensions:

Let K/k be a finitely generated extension of non-archimedean valued fields. Let

$$s = \dim_{\mathbb{Q}} \left(\frac{v(K^\times)}{v(k^\times)} \otimes_{\mathbb{Z}} \mathbb{Q} \right)$$

and

$$t = \text{tr. deg}(\tilde{K}/\tilde{k}).$$

Then

$$s + t \leq \text{tr. deg}(K/k).$$

Proof. Choose $x_1, \dots, x_s \in K^\times$ such that $[v(x_i)] \otimes 1$ are linearly independent over \mathbb{Q} . Choose $y_1, \dots, y_t \in \mathcal{O}_K$ such that $\tilde{y}_1, \dots, \tilde{y}_t$ are algebraically independent over \tilde{k} . We will prove that $x_1, \dots, x_s, y_1, \dots, y_t$ are algebraically independent over k . Assume there exists non-zero $p \in k[\underline{X}, \underline{Y}]$ such that $p(\underline{x}, \underline{y}) = 0$. Write

$$p(\underline{x}, \underline{y}) = \sum a_{\underline{i}\underline{j}} \underline{x}^{\underline{i}} \underline{y}^{\underline{j}}.$$

Choose $(\underline{i}_0, \underline{j}_0)$ such that $v(a_{\underline{i}\underline{j}} \underline{x}^{\underline{i}} \underline{y}^{\underline{j}})$ is minimal. We claim that every other monomial with minimal valuation has $\underline{i} = \underline{i}_0$. Note that $v(y_j) = 0$ for all $1 \leq j \leq t$.

Assume then that $v(a_{\underline{i}_0 \underline{j}_0} x_1^{i_0^1} \cdots x_s^{i_0^s}) = v(a_{\underline{i}_1 \underline{j}_1} x_1^{i_1^1} \cdots x_s^{i_1^s})$. We have

$$\sum_{i=1}^t (i_{0i} - i_{1i}) v(x_i) = v(a_{\underline{i}_1 \underline{j}_1}) - v(a_{\underline{i}_0 \underline{j}_0}) \in v(k^\times).$$

But the $v(x_i)$ lie in distinct cosets of $v(K^\times)/v(k^\times)$, and so $\underline{i}_0 = \underline{i}_1$. We divide $p(\underline{x}, \underline{y})$ by $a_{\underline{i}_0 \underline{j}_0} x_1^{i_0^1} \cdots x_s^{i_0^s}$ to get an expression

$$\sum b_{\underline{i}\underline{j}} \underline{y}^{\underline{j}} + C,$$

where $v(b_{\underline{i}\underline{j}}) = 0$ and $v(C) > 0$. Passing to the residue field, we get an algebraic dependence relation between the \tilde{y}_j : this is a contradiction.

We give one more classification of the points of $\mathbb{A}_k^{1,\text{an}}$:

For $x \in \mathbb{A}_k^{1,\text{an}}$, let $s(x) = \dim_{\mathbb{Q}} \left(\frac{v(K(x))}{v(k)} \otimes_{\mathbb{Z}} \mathbb{Q} \right)$ and $t(x) = \text{tr. deg}(\widetilde{K(x)}/\tilde{k})$. Then

Type	s	t	$\text{tr. deg}(K(x)/k)$
1	0	0	0
2	0	1	1
3	1	0	1
4	0	0	1

We can define analytic functions on $\mathbb{A}_k^{1,\text{an}}$:

Let U be an open subset of $\mathbb{A}_k^{1,\text{an}}$. An *analytic function* on U is a map

$$F : U \rightarrow \prod_{x \in U} \mathcal{H}(x)$$

such that for all $x \in U$ the following hold:

- (1) $F(x) \in \mathcal{H}(x)$, and
- (2) there exists a neighbourhood V of x and sequences $\{P_n\}$ and $\{Q_n\}$ of elements of $k[T]$ such that the Q_n don't vanish on V and

$$\lim_{n \rightarrow \infty} \sup_{y \in V} \left| F(y) - \frac{P_n(y)}{Q_n(y)} \right| = 0.$$

Setting $\mathcal{O}(U) = \{\text{analytic functions on } U\}$ makes $\mathbb{A}_k^{1,\text{an}}$ into a locally ringed space.

$\mathcal{O}(\mathbb{A}_k^{1,\text{an}})$ consists of power series with infinite radius of convergence. The local ring \mathcal{O}_x consists of power series with positive radius of convergence.

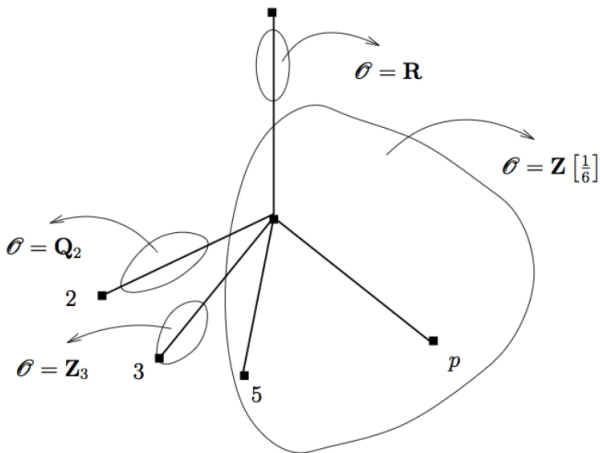


Figure: The structure sheaf of $\mathcal{M}(\mathbb{Z})$

Let $U = \mathcal{M}(\mathbb{Z}) \setminus |_{\mathfrak{o}}$ and let $j : U \rightarrow \mathcal{M}(\mathbb{Z})$ be the natural inclusion map. Then $(j_* \mathcal{O}_U)|_{\mathfrak{o}}$ is the ring of adèles of \mathbb{Q} and $(j_* \mathcal{O}_U^\times)|_{\mathfrak{o}}$ the group of ideles. This still works for \mathbb{Z} replaced by any ring of integers.

Let \mathcal{A} be a finite type k -algebra, e.g. $\mathcal{A} = k[T]$. For $f \in \mathcal{A}$, set $D(f) = \{x \in \mathcal{M}(\mathcal{A}) \mid f(x) \neq 0\}$. The map $\mathcal{M}(\mathcal{A}[1/f]) \rightarrow \mathcal{M}(\mathcal{A})$ induced by localisation is a homeomorphism onto its image $D(f)$.

This lets us identify $\mathbb{A}_k^{1,\text{an}} \setminus | \cdot |_0 = D(T)$ with $\mathcal{M}(k[T, 1/T])$. Take another copy of $\mathbb{A}_k^{1,\text{an}} = \mathcal{M}(k[T'])$ with $D(T') = \mathcal{M}(k[T', 1/T'])$. We can glue both copies of $\mathbb{A}_k^{1,\text{an}}$ along $D(T)$ and $D(T')$ by the isomorphism induced by $k[T', 1/T'] \rightarrow k[T, 1/T] : T' \mapsto 1/T$. This gives the Berkovich projective line $\mathbb{P}_k^{1,\text{an}}$. It is Hausdorff, compact, and uniquely path-connected.

Note: there is also a Proj-type construction of $\mathbb{P}_k^{1,\text{an}}$.

Finally, let us prove that every entire non-constant function $f : \mathbb{A}_k^{1,\text{an}} \rightarrow \mathbb{A}_k^{1,\text{an}}$ is surjective:

We know that $f = \sum_{i=0}^{\infty} a_i T^i$ is a power series with infinite radius of convergence. Subtracting a constant from f , it is enough to show that f has a root. We consider the Newton polygon $\mathcal{N}(f)$ of f : if $\mathcal{N}(f)$ has a segment of finite length n , then f has at least n roots. This can only fail if $\mathcal{N}(f)$ doesn't exist, i.e. if the points $(j, v(a_j))$ don't have a lower convex hull in \mathbb{R}^2 . But f has infinite radius of convergence, so in particular $v_p(a_i/p^{ni}) \rightarrow \infty$ as $i \rightarrow \infty$ for all n , so the Newton polygon $\mathcal{N}(f)$ exists and f has a root.